

# COLORADO BY THE NUMBERS

## 2016 FINANCINGS

Organization	Type of Event	Transaction Amount (USD)	City	State	Country
2B Technologies, Inc.	Grant Funding	\$484,446	Boulder	CO	United States
Ampio Pharmaceuticals, Inc.	IPO's/Offerings	\$3,750,000	Englewood	CO	United States
AntriaBio, Inc.	IPO's/Offerings	\$12,000,000	Louisville	CO	United States
Array Biopharma, Inc.	IPO's/Offerings	\$15,000,000	Boulder	CO	United States
Array Biopharma, Inc.	IPO's/Offerings	\$132,250,000	Boulder	CO	United States
Aytu BioScience, Inc.	IPO's/Offerings	\$7,500,000	Englewood	CO	United States
Aytu BioScience, Inc.	Financing Agreements	\$10,500,000	Englewood	CO	United States
Aytu BioScience, Inc.	IPO's/Offerings	\$8,600,000	Englewood	CO	United States
Aytu BioScience, Inc.	IPO's/Offerings	-	Englewood	CO	United States
Bio2 Medical, Inc.	Venture Financing	\$10,000,000			
Biodesix, Inc.	Financing Agreements	\$5,120,000	Boulder	CO	United States
Biodesix, Inc.	Venture Financing	\$22,000,000	Boulder	CO	United States
Biomimetix Jv, Llc	Grant Funding	\$1,023,555	Englewood	CO	United States
Bolder Biotechnology, Inc.	Grant Funding	\$674,036	Boulder	CO	United States
Boulder Nonlinear Systems, Inc.	Grant Funding	\$2,273,141	Lafayette	CO	United States
CardioNXT	Equity Funding	\$1,500,000			
Cerapedics LLC	Venture Financing	\$11,000,000	Broomfield	CO	United States
Chi Institute For Research & Innovation	Grant Funding	\$880,000	Englewood	CO	United States
Colorado Cancer Research Program, Inc.	Grant Funding	\$996,517	Denver	CO	United States
Colorado Photopolymer Solutions, Llc	Grant Funding	\$452,402	Boulder	CO	United States
Colorado School Of Mines	Grant Funding	\$774,627	Golden	CO	United States
Colorado State University	Grant Funding	\$33,459,718	Fort Collins	CO	United States
Crestone, Inc.	Grant Funding	\$2,223,242	Boulder	CO	United States
Denver Research Institute	Grant Funding	\$311,943	Denver	CO	United States
Globeimmune, Inc.	Grant Funding	\$1,000,000	Louisville	CO	United States
High Precision Devices, Inc.	Grant Funding	\$225,000			
Indevr, Inc.	Grant Funding	\$1,931,645	Boulder	CO	United States
Invenux, Llc	Grant Funding	\$807,410	Windsor	CO	United States
JustRight Surgical LLC	Financing Agreements	\$7,000,000	Louisville	CO	United States
JustRight Surgical LLC	Venture Financing	\$4,200,000			
Kestrel Labs, Inc.	Grant Funding	\$497,031	Boulder	CO	United States
Lohocla Research Corporation	Grant Funding	\$985,777	Aurora	CO	United States
Mbc Research, Inc.	Grant Funding	\$301,877	Aurora	CO	United States
Mbio Diagnostics	Grant Funding	\$250,000	Boulder	CO	United States
Meadowlark Optics, Inc.	Grant Funding	\$469,426	Frederick	CO	United States
Membrane Protective Technologies, Inc.	Grant Funding	\$600,000			
Miragen Therapeutics, Inc.	Grant Funding	\$424,725	Boulder	CO	United States
National Jewish Health	Grant Funding	\$32,869,336	Denver	CO	United States
Native American Cancer Initiatives, Inc.	Grant Funding	\$151,055	Pine	CO	United States
Ocugen, Inc	Venture Financing	\$6,000,000	Aurora	CO	United States
PanTheryx, Inc.	Financing Agreements	\$53,000,000	Boulder	CO	United States
Prima-Temp	Venture Financing	\$1,640,000			
Quspin	Grant Funding	\$772,932	Louisville	CO	United States
Sharklet Technologies, Inc.	Grant Funding	\$1,316,559	Aurora	CO	United States

## 2016 FINANCINGS (continued)

Organization	Type of Event	Transaction Amount (USD)	City	State	Country
SomaLogic	Venture Financing	\$60,500,000			
Stewart Computational Chemistry	Grant Funding	\$234,497	Colorado Springs	CO	United States
Surefire Medical	Grant Funding	Undisclosed	Westminster	CO	United States
Surefire Medical	Grant Funding	Undisclosed	Westminster	CO	United States
Symbios Technologies, Inc	Grant Funding	\$449,931	Fort Collins	CO	United States
Tda Research, Inc.	Grant Funding	\$642,546	Wheat Ridge	CO	United States
Terumo BCT	Grant Funding	\$17,400,000			
Topogen, Inc.	Grant Funding	\$279,300	Buena Vista	CO	United States

## STATE GRANTS

Program	Award \$	Grant Expenditures	Remaining (Encumbered)	Grantee	IP Advancement	Follow-on \$	Projected Annual Revenue	Capital Investments	County Name
ESC	\$249,000.00	\$235,915.07	\$0.00	dbMEDx	Utility Patent Filed	\$1,300,000.00	\$0.00	\$0.00	Jefferson
ESC	\$125,000.00	\$124,551.26	\$448.74	Membrane Protective Technologies, Inc (MPTI)	N/A	\$600,000.00	\$0.00	\$0.00	Larimer
ESC	\$250,000.00	\$250,000.00	\$0.00	VetDC, Inc	N/A	\$1,720,000.00	\$0.00	\$381,000.00	Douglas
ESC	\$250,000.00	\$224,315.08	\$25,684.92	Biota Technology	Provisional	\$4,250,000.00	\$115,000.00	\$0.00	Denver
ESC	\$250,000.00	\$226,990.53	\$23,009.47	Mbio Diagnostics, Inc	1 License	\$0.00	\$0.00	\$0.00	Boulder
ESC	\$250,000.00	\$250,000.00	\$0.00	Prima-Temp, Inc	Provisional	\$1,725,000.00	\$0.00	\$0.00	Boulder
ESC	\$50,000.00	PO closed 1/13/14	\$0.00	Double Helix, LLC	Provisional	\$187,000.00	\$0.00	\$0.00	Boulder
ESC	\$100,000.00	\$45,965.29	\$54,034.71	KromaTiD, Inc	1 License with CU	\$100,000.00	\$0.00	\$0.00	Larimer
ESCR	\$250,000.00	\$157,443.66	\$92,556.34	Lifeloc Technologies, Inc.	US/PCT	\$0.00	\$0.00	\$37,371.00	Jefferson
ESCR	\$250,000.00	\$115,295.61	\$134,704.39	Mighty Oak Medical	2 Provisional	\$0.00	\$0.00	\$0.00	Arapahoe
ESCR	\$100,000.00	\$54,030.13	\$45,969.87	Siva Therapeutics	Provisional	\$750,000.00	\$0.00	\$0.00	Boulder
ESCR	\$160,000.00	\$83,114.97	\$76,885.03	ProTechSure Scientific	N/A	\$0.00	\$0.00	\$0.00	Adams
ESCR	\$250,000.00	\$250,000.00	\$0.00	CardioNXT	Provisional	\$1,300,000.00	\$0.00	\$5,000.00	Jefferson
ESCR	\$183,800.00	\$183,800.00	\$0.00	Ocugen	N/A	\$165,000.00	\$0.00	\$0.00	Adams
ESCR	\$230,900.00	\$2,390.00	\$228,510.00	Fluonic	Provisional	\$481,000.00	\$0.00	\$0.00	Boulder
ESCR	\$250,000.00	\$176,162.60	\$73,837.40	Evolutionary Genomics	Provisional/Utility	\$2,100,000.00	\$250,000.00	\$110,000.00	Boulder
ESCR	\$250,000.00	\$50,126.93	\$199,873.07	QuSpin	Other	\$158,500.00	\$324,000.00	\$0.00	Boulder
ESCR	\$250,000.00	\$112,695.60	\$137,304.40	RxREvU	N/A	\$700,000.00	\$1,000,000.00	\$2,150,000.00	Denver
ESCR	\$250,000.00	\$181,514.71	\$68,485.29	FiveFocal, LLC	6 Licenses	\$0.00	\$14,000.00	\$0.00	Boulder
ESCR	\$50,000.00	\$5,000.00	\$45,000.00	KMLabs	Provisional	\$5,500,000.00	\$5,000,000.00	\$36,000.00	Boulder
ESCR	\$196,889.00	\$63,096.46	\$133,792.54	IM Therapeutics	N/A	\$350,000.00	\$0.00	\$0.00	Jefferson
ESCR	\$205,000.00	\$164,227.54	\$40,772.46	TopoGen	N/A	\$0.00	\$0.00	\$128,592.35	Chaffee
ESCR	\$150,000.00	\$43,834.87	\$106,165.13	MenoGeniX, Inc	Utility	\$200,000.00	\$0.00	\$0.00	Adams
ESCR	\$50,000.00	\$50,000.00	\$0.00	SudHin Biopharma Co.	Provisional	\$0.00	\$0.00	\$0.00	Boulder
ESCR	\$250,000.00	\$154,808.94	\$95,191.06	HepQuant	1 License with CU	\$250,000.00	\$969,000.00	\$0.00	Arapahoe
ESCR	\$250,000.00	\$85,615.00	\$164,385.00	3DBiopsy	Provisional/Utility	\$1,350,000.00	\$2,000,000.00	\$140,000.00	Adams
ESCR	\$250,000.00	\$45,940.50	\$204,059.50	CereScan	N/A	\$0.00	\$0.00	\$0.00	Arapahoe
ESCR	\$250,000.00	\$44,786.41	\$205,213.59	Sharklet Technologies	N/A	\$0.00	\$0.00	\$0.00	Adams

## 2015 ACQUISITIONS

Date Announced	Bidder	Target	Deal Value (USDm)
2016-01-26	Venaxis, Inc.	Strand Life Sciences Pvt. Ltd	-
2016-07-15	Sartorius AG	ViroCyt Incorporated	16
2016-09-13	Venus Medtech (HangZhou) Inc.	Colibri Heart Valve LLC	25
2016-09-30	DentaQuest Management, Inc.	Advantage Dental Plan Inc.	-
2016-10-31	Fidelity Management & Research Company	miRagen Therapeutics, Inc.	40

## 2015 FINANCINGS

Company	Public Ticker	City	\$USD M	Type of Event/Round	Investor/Partner	Industry Type
2B Technologies, Inc		Boulder	.50	Grant Funding	NIH	Device
Aerophase, Inc.		Longmont	.50	Grant Funding	NIH	Pharmaceutical
ARCA Biopharma, Inc.	ABIO	Westminster	37.0	Financing Agreements	Franklin Advisers, Venrock Associates, H&Q Life Sciences Investors, New Enterprise Associates (Nea), RA Capital Management	Pharmaceutical
Aytu BioScience, Inc.	AYTU	Englewood	Undisclosed	Contract/Agreements		Pharma/Diagnostic
Aytu BioScience, Inc.	AYTU	Englewood	Undisclosed	Earnings/Dividends		Pharma/Diagnostic
Aytu BioScience, Inc.	AYTU	Englewood	5.2	Financing Agreements		Pharma/Diagnostic
Biodesix, Inc.		Boulder	38.0	Venture Financing		Diagnostic
Biodesix, Inc.		Boulder	12.0	Venture Financing		Diagnostic
Biomimetix JV, LLC		Englewood	.98	Grant Funding	NIH	Pharmaceutical
Biorealm		Monument	4.0	Grant Funding	NIH	Biotechnology
Bolder BioTechnology, Inc.		Boulder	1.0	Grant Funding	NIH	Biotechnology
Bolder BioTechnology, Inc.		Boulder	.40	Grant Funding	NIH	Biotechnology
Boulder Nonlinear Systems, Inc.		Lafayette	.50	Grant Funding	NIH	Device
Cerapedics		Westminster	4.0	Debt Financing		MedTech
Clovis Oncology, Inc.	CLVS	Boulder	275.0	Financing Agreements		Pharmaceutical
Clovis Oncology, Inc.	CLVS	Boulder	Undisclosed	IPO's/Offerings		Pharmaceutical
Corgenix Medical Corporation		Broomfield	1.0	Grant Funding	NIH	MedTech
Crestone, Inc.		Boulder	4.3	Grant Funding	NIH	Pharmaceutical
Globelmmune, Inc		Louisville	1.0	Grant Funding	NIH	Biotechnology
InDevR LLC		Boulder	1.3	Grant Funding	NIH	MedTech
Invisible Hand Enterprises, LLC		Westminster	.46	Grant Funding	NIH	Device
Lohocla Research Corporation		Aurora	6.5	Grant Funding	NIH	Pharmaceutical
MBio Diagnostics		Boulder	6.3	Venture Financing		Diagnostic
MBio Diagnostics		Boulder	.93	Grant Funding	NIH	Diagnostic
Meadowlark Optics, Inc.		Frederick	.21	Grant Funding	NIH	Device
Mesa Laboratories, Inc.	MLAB	Lakewood	Undisclosed	Earnings/Dividends		MedTech
Microbiome Therapeutics		Broomfield	Undisclosed	Venture Financing		Pharmaceutical
miRagen Therapeutics, Inc.		Boulder	41.1	Venture Financing	Remeditex Ventures LLC; Atlas Venture, Ltd.; Brace Pharma Capital; Amgen, Inc.; Boulder Ventures, Ltd.; Jafco Ventures; Merck & Co., Inc.; Mp Healthcare Venture Management, Inc.	Biotechnology
PharmaJet		Golden	6.8	Venture Financing		Device
Precision Biopsy		Aurora	33.6	Venture Financing		Device
QuSpin		Louisville	.73	Grant Funding	NIH	Device
Sharklet Technologies		Aurora	.89	Grant Funding	NIH	MedTech
Spectranetics Corporation	SPNC	Colorado Springs	110.0	Financing Agreements		MedTech
Surefire Medical		Westminster	15.1	Venture Financing		Device
Surefire Medical		Westminster	11.0	Venture Financing		Device
Suvica, Inc.		Boulder	2.9	Grant Funding	NIH	Pharmaceutical
TDA Research, Inc.		Wheat Ridge	.55	Grant Funding	NIH	Device
Topogen, Inc.		Buena Vista	.32	Grant Funding	NIH	Diagnostic
Ventria Bioscience		Fort Collins	.31	Grant Funding	NIH	Biotechnology
VetDC		Fort Collins	2.1	Venture Financing		Pharmaceutical
Nivalis Therapeutics, Inc.	NVLS	Boulder	89.0	IPO's/Offerings		Pharmaceutical

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